

Audit and Standards Committee

Date: Thursday 25 May 2023
Time: 10.00 am
Venue: Committee Room 2, Shire Hall

Membership

John Bridgeman (Chair)
Councillor John Cooke
Councillor Sarah Feeney
Councillor Bill Gifford
Councillor Brian Hammersley
Councillor Bhagwant Singh Pandher
Councillor Ian Shenton
Robert Zara

Items on the agenda: -

- 1. General**
 - (1) Apologies**
 - (2) Disclosures of Pecuniary and Non-Pecuniary Interests**
 - (3) Minutes of the previous meeting** 5 - 10

To receive the minutes of the Audit & Standards Committee meeting held on 23 March 2023.
- 2. External Auditors Annual Audit Report 2021/22 - County Council Management Response and Action Plan** 11 - 58

To receive the final 2021/22 Audit Findings report and consider the management response to the recommendations in the External Auditors report.
- 3. External Auditors' Annual Audit Report 2021/22 - Warwickshire Pension Fund Management Response and Action Plan** 59 - 96

This report sets out the final 2021/22 Audit Findings report and asks the committee to consider the management response to the recommendations therein.

- 4. Warwickshire County Council - External Audit Risk Assessment 2022/23** 97 - 132
To consider the Audit Risk Assessment for 2022/23 and to note that the Strategic Director for Resources will update this assessment if any further information becomes available prior to the draft 2022/23 Statement of Accounts being issued.
- 5. Warwickshire Pension Fund - External Audit Risk Assessment 2022/23** 133 - 162
To consider and comment on the Audit Risk Assessment for 2022/23, attached at Appendix 1 to the report.
- 6. Draft Annual Governance Statement 2022/23** 163 - 194
The Committee is asked to endorse the Draft 2022/23 Annual Governance Statement for onward consideration by the Council's External Auditor.
- 7. Audit & Standards Committee - Annual Report 2022/23** 195 - 202
To receive the Annual Report of the Audit and Standards Committee for the period 2022/2023.
- 8. Work Programme and Future Meeting Dates** 203 - 206
To consider the items for the Committee's Work Programme and note the dates of future meetings to be held at Shire Hall, Warwick, as follows:
- 20 July 2023
 - 21 September 2023
 - 30 November 2023
 - March 2024 - TBC
- All meetings to commence at 10am.
- 9. Any Other Business**
- 10. Reports Containing Exempt or Confidential Information**
To consider passing the following resolution:
- 'That members of the public be excluded from the meeting for the items mentioned below on the grounds that their presence would involve the disclosure of exempt information as defined in paragraph 3 of Schedule 12A of Part 1 of the Local Government Act 1972'.
- 11. Internal Audit Progress Report** 207 - 234
The Committee is asked to consider the results of internal audit work completed and the outcome of the External Quality Assessment of Conformance to the Public Sector Internal Audit Standards.

12. Exempt Minutes of the Audit & Standards Committee - 23 March 2023

235 - 238

To receive the exempt minutes of the Audit & Standards Committee held on 23 March 2023.

Monica Fogarty
Chief Executive
Warwickshire County Council
Shire Hall, Warwick

To download papers for this meeting scan here with your camera



Disclaimers

Webcasting and permission to be filmed

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Disclosures of Pecuniary and Non-Pecuniary Interests

Members are required to register their disclosable pecuniary interests within 28 days of their election of appointment to the Council. Any changes to matters registered or new matters that require to be registered must be notified to the Monitoring Officer as soon as practicable after they arise.

A member attending a meeting where a matter arises in which they have a disclosable pecuniary interest must (unless they have a dispensation):

- Declare the interest if they have not already registered it
- Not participate in any discussion or vote
- Leave the meeting room until the matter has been dealt with
- Give written notice of any unregistered interest to the Monitoring Officer within 28 days of the meeting

Non-pecuniary interests relevant to the agenda should be declared at the commencement of the meeting.

The public reports referred to are available on the Warwickshire Web
<https://democracy.warwickshire.gov.uk/uuCoverPage.aspx?bcr=1>

Public Speaking

Any member of the public who is resident or working in Warwickshire, or who is in receipt of services from the Council, may speak at the meeting for up to three minutes on any matter within the remit of the Committee. This can be in the form of a statement or a question. If you wish to speak please notify Democratic Services in writing at least two working days before the meeting. You should give your name and address and the subject upon which you wish to speak. Full details of the public speaking scheme are set out in the Council's Standing Orders.

COVID-19 Pandemic

Any member or officer of the Council or any person attending this meeting must inform Democratic Services if within a week of the meeting they discover they have COVID-19 or have been in close proximity to anyone found to have COVID-19.